



Basic Graph Neural Networks

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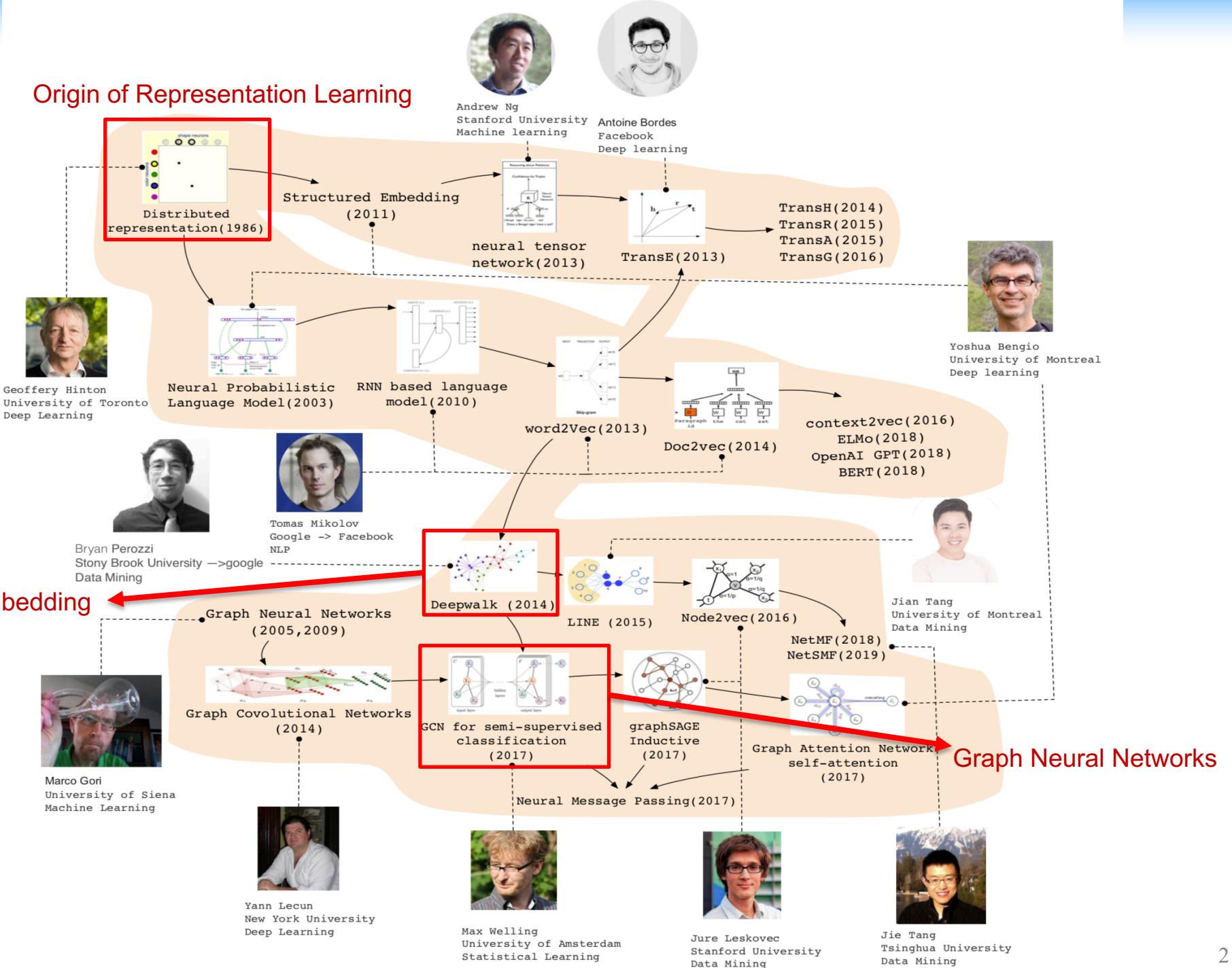
Course Link: <https://cogdl.ai/gnn2022/>

CogDL is publicly available at

<https://github.com/THUDM/cogdl>



Origin of Representation Learning



Graph Neural Networks

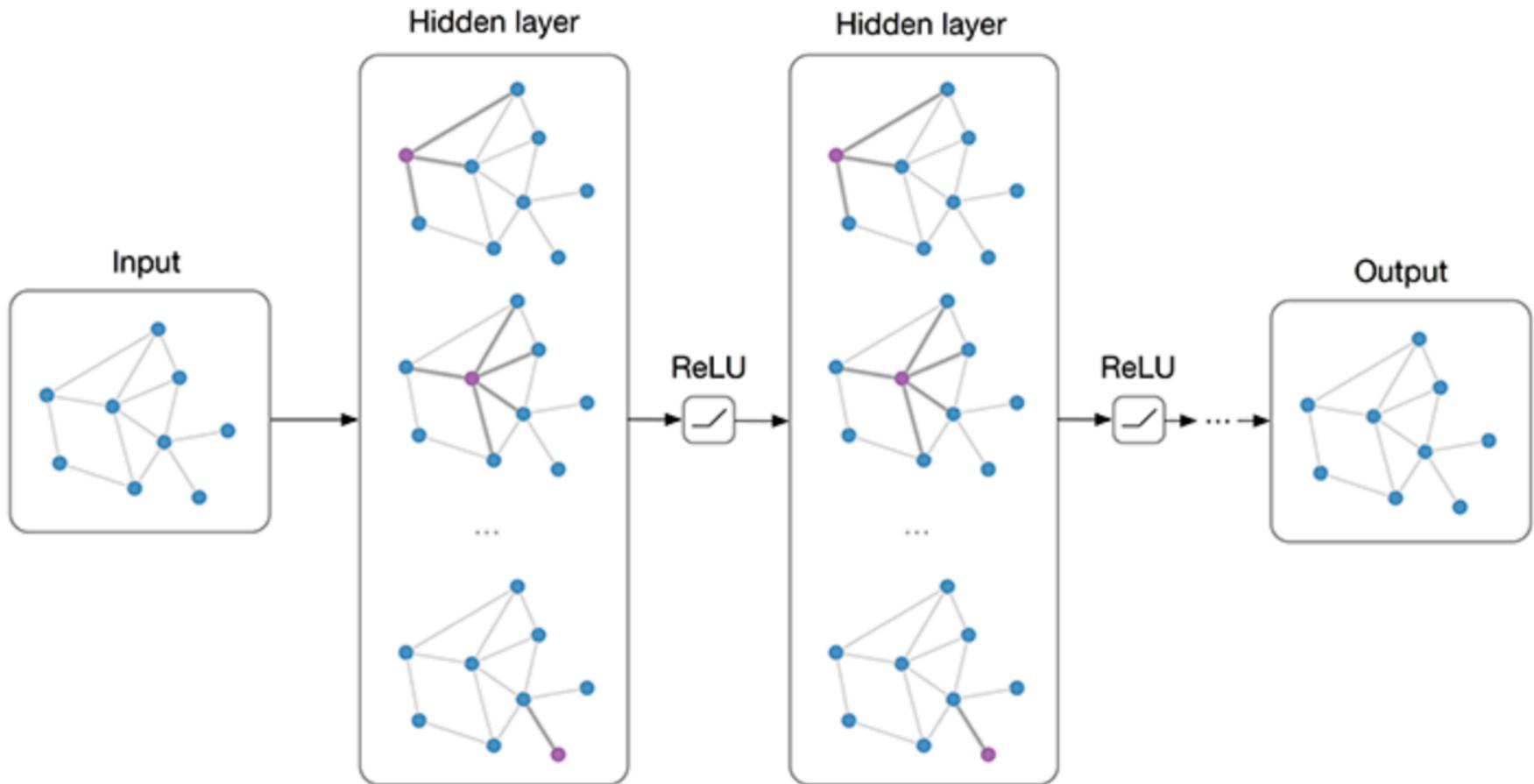
- Input: an undirected weighted network $G = (V, E)$ with $|V| = n$ & $|E| = m$

- Adjacency matrix $A \in \mathbb{R}_+^{n \times n}$

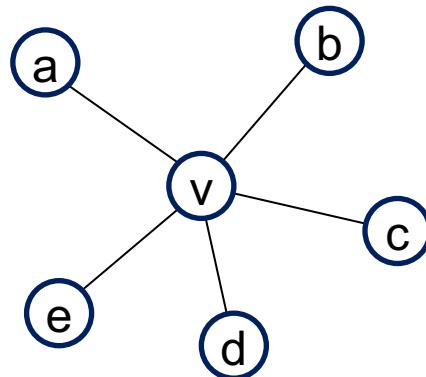
$$A_{i,j} = \begin{cases} a_{i,j} > 0 & (i,j) \in E \\ 0 & (i,j) \notin E \end{cases}$$

- Degree matrix $D = \text{diag}(d_1, d_2, \dots, d_n)$
 - Node feature matrix $X \in \mathbb{R}^{n \times F}$
- Output: for each node, its k -dimension latent feature representation vector Z
- Latent feature embedding matrix $Z \in \mathbb{R}^{n \times c}$

Graph Neural Networks



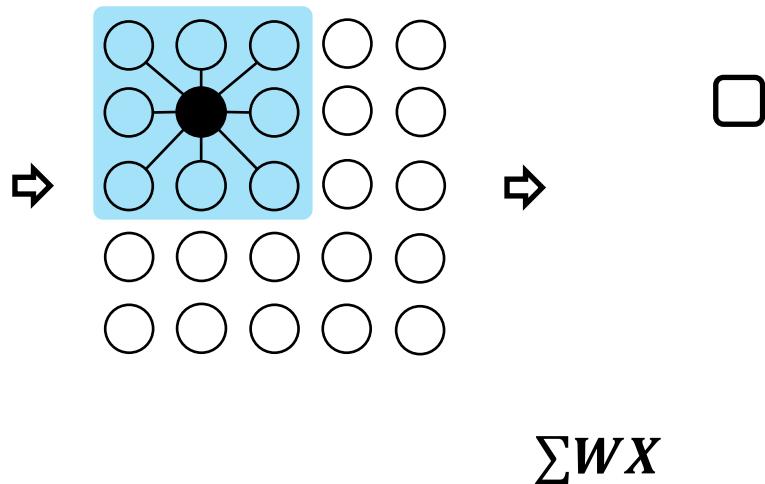
The Core of Graph Neural Networks



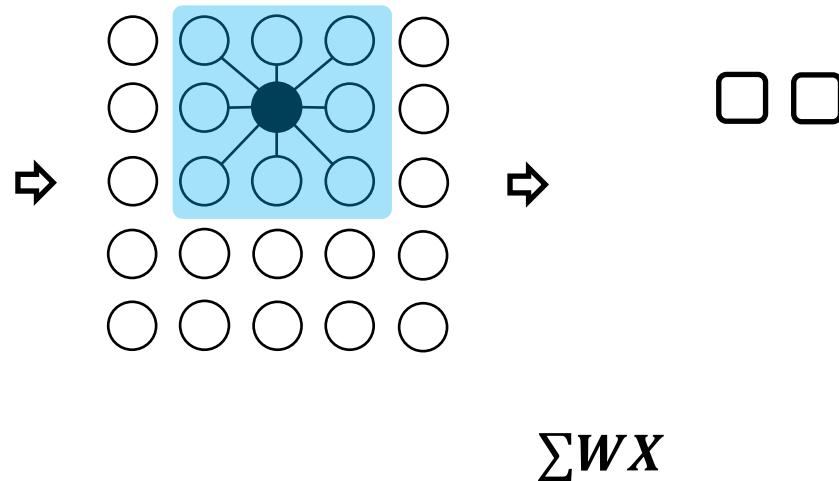
$$\mathbf{h}_v = f(\mathbf{h}_a, \mathbf{h}_b, \mathbf{h}_c, \mathbf{h}_d, \mathbf{h}_e)$$

- **Neighborhood Aggregation:**
 - Aggregate neighbor information and pass into a neural network

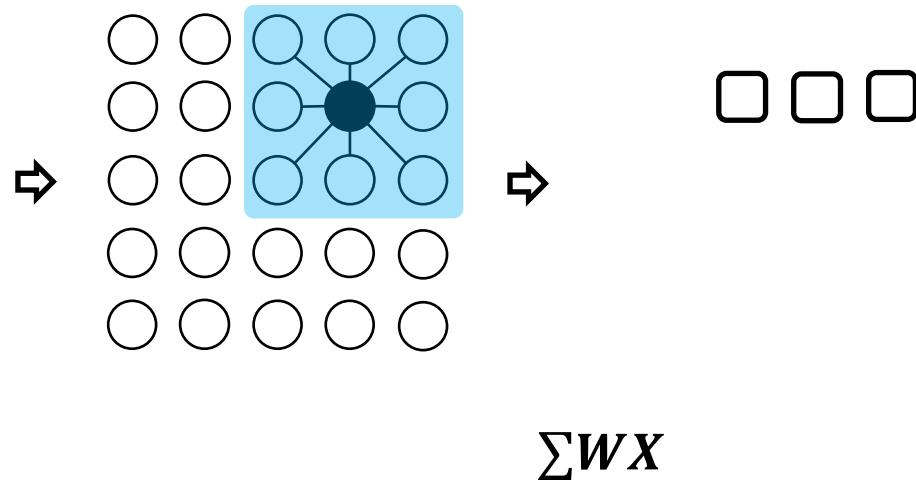
Convolutional Neural Network



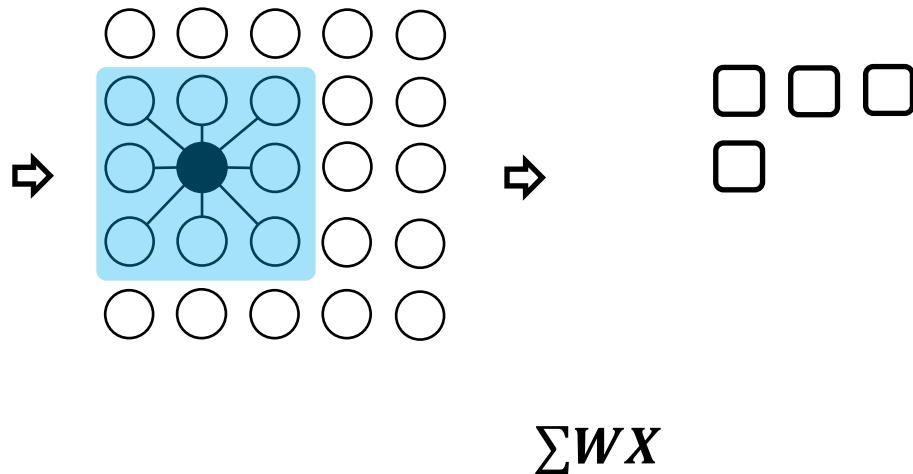
Convolutional Neural Network



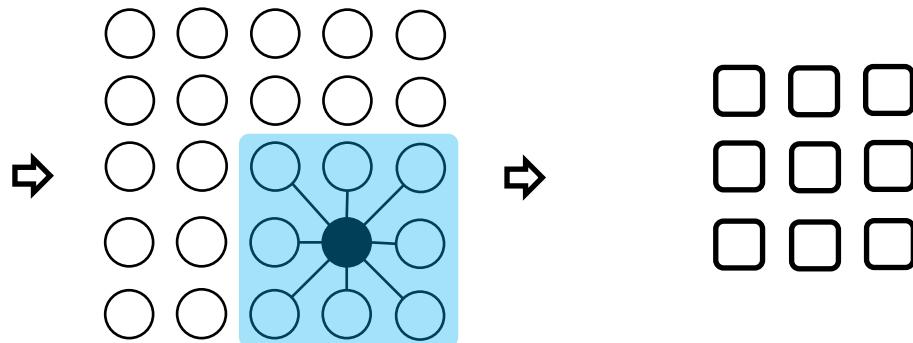
Convolutional Neural Network



Convolutional Neural Network

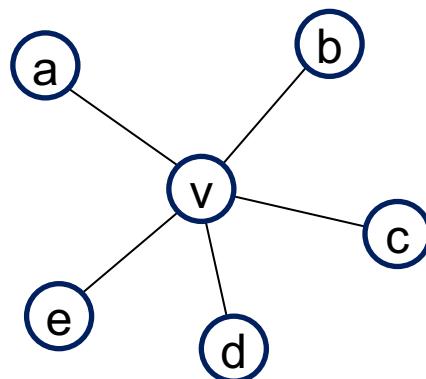


Convolutional Neural Network



$$\Sigma W X$$

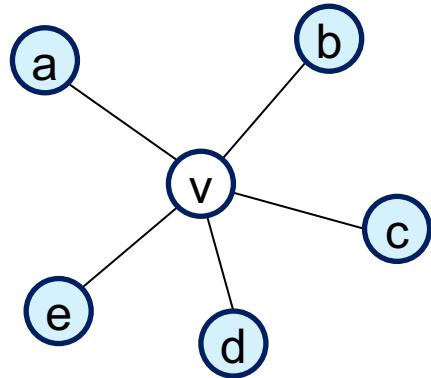
Graph Neural Networks



$$\mathbf{h}_a = f(\mathbf{h}_a, \mathbf{h}_b, \mathbf{h}_c, \mathbf{h}_d, \mathbf{h}_e)$$

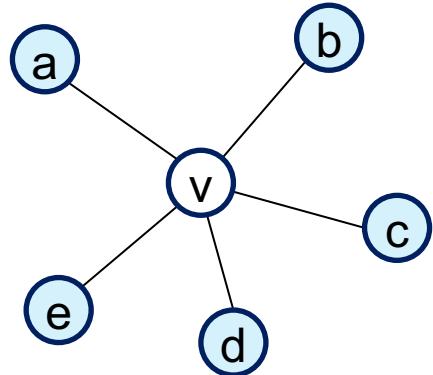
- **Neighborhood Aggregation:**
 - Aggregate neighbor information and pass into a neural network
 - It can be viewed as a center-surround filter in CNN---graph convolutions!

GNN: Graph Convolutional Networks



$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v) \cup v} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}})$$

GNN: Graph Convolutional Networks



parameters in layer k

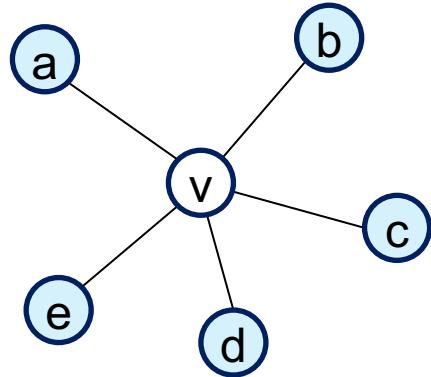
Non-linear activation function (e.g., ReLU)

$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v) \cup v} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}})$$

node v 's embedding at layer k

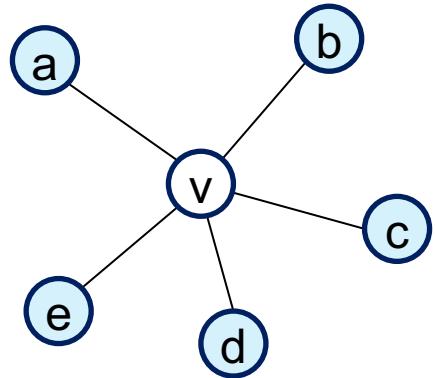
the neighbors of node v

GNN: Graph Convolutional Networks



$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in \mathcal{N}(v) \cup v} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|\mathcal{N}(u)||\mathcal{N}(v)|}})$$

GNN: Graph Convolutional Networks

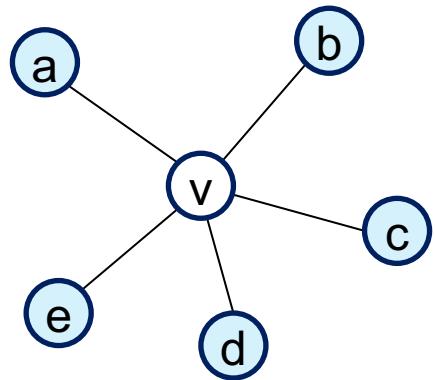


Aggregate from v 's neighbors

$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v)} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}} + \mathbf{W}^k \sum_v \frac{\mathbf{h}_v^{k-1}}{\sqrt{|N(v)||N(v)|}})$$

Aggregate from itself

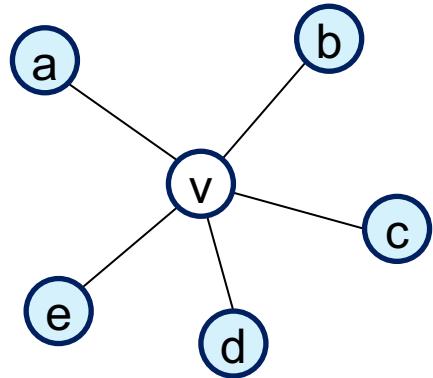
GNN: Graph Convolutional Networks



The same parameters for both its neighbors & itself

$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v)} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}} + \mathbf{W}^k \sum_v \frac{\mathbf{h}_v^{k-1}}{\sqrt{|N(v)||N(v)|}})$$

GNN: Graph Convolutional Networks



$$\mathbf{D}^{-\frac{1}{2}} \mathbf{A} \mathbf{D}^{-\frac{1}{2}} \mathbf{H}^{(k-1)} \mathbf{W}^{(k)}$$

$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in \mathcal{N}(v)} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|\mathcal{N}(u)| |\mathcal{N}(v)|}} + \mathbf{W}^k \sum_v \frac{\mathbf{h}_v^{k-1}}{\sqrt{|\mathcal{N}(v)| |\mathcal{N}(v)|}})$$

$$\mathbf{D}^{-\frac{1}{2}} \mathbf{I} \mathbf{D}^{-\frac{1}{2}} \mathbf{H}^{(k-1)} \mathbf{W}^{(k)}$$

Spectral Analysis Behind GCNs

- Spectral graph convolution: ($x \in \mathbb{R}^n$)

$$g_\theta \star x = U g_\theta U^\top x$$

- Truncated chebyshev expansion:

$$g_{\theta'}(\Lambda) \approx \sum_{k=0}^K \theta'_k T_k(\tilde{\Lambda})$$

- Now have:

$$g_{\theta'} \star x \approx \sum_{k=0}^K \theta'_k T_k(\tilde{L})x$$

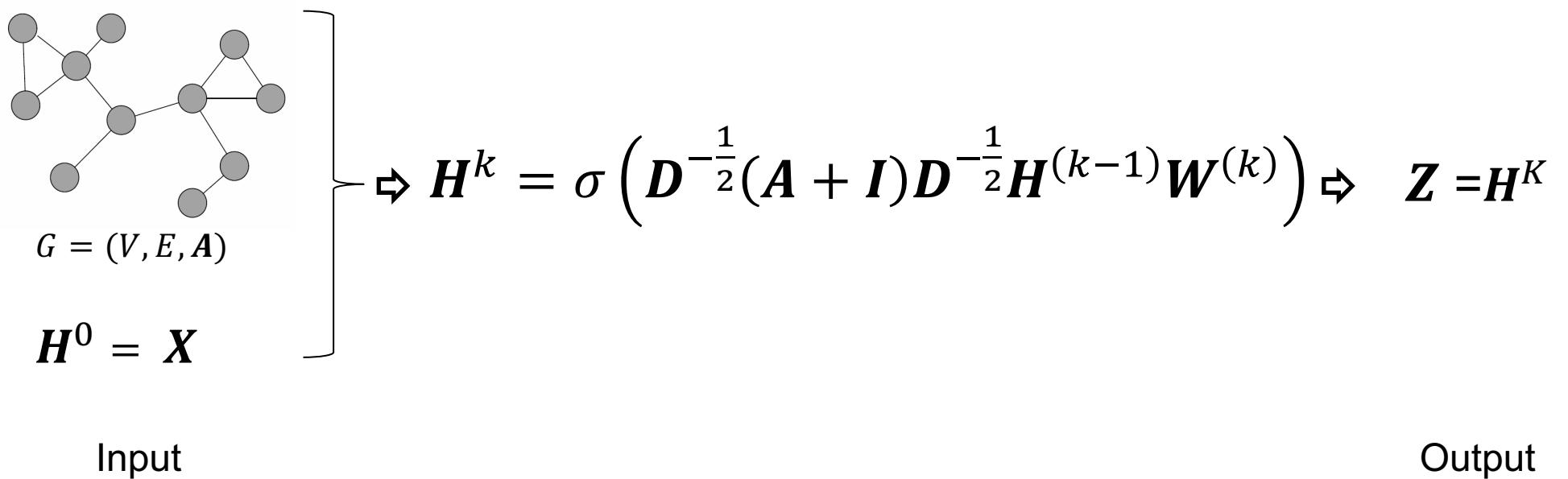
$$g_{\theta'} \star x \approx \theta'_0 x + \theta'_1 (L - I_N) x = \theta'_0 x - \theta'_1 D^{-\frac{1}{2}} A D^{-\frac{1}{2}} x$$

$$g_\theta \star x \approx \theta \left(I_N + D^{-\frac{1}{2}} A D^{-\frac{1}{2}} \right) x$$

- Finally, extend $x \in \mathbb{R}^n$ to $X \in \mathbb{R}^{n \times F}$ with C filters:

$$Z = \tilde{D}^{-\frac{1}{2}} \tilde{A} \tilde{D}^{-\frac{1}{2}} X \Theta$$

GNN: Graph Convolutional Networks



GNN: Graph Convolutional Networks

$G = (V, E, A)$

$H^0 = X$

$$\Rightarrow H^k = \sigma \left(D^{-\frac{1}{2}}(A + I)D^{-\frac{1}{2}}H^{(k-1)}W^{(k)} \right) \Leftrightarrow Z = H^K$$

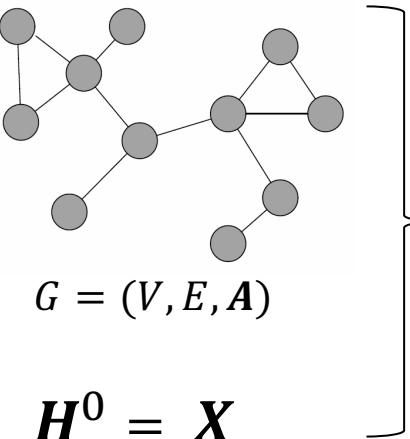
Input

Model training

Output

- The common setting is to have an end to end training framework with a supervised task
- That is, define a loss function over Z

GNN: Graph Convolutional Networks


$$G = (V, E, A)$$
$$\mathbf{H}^0 = \mathbf{X}$$
$$\Rightarrow \mathbf{H}^k = \sigma \left(\mathbf{D}^{-\frac{1}{2}} (\mathbf{A} + \mathbf{I}) \mathbf{D}^{-\frac{1}{2}} \mathbf{H}^{(k-1)} \mathbf{W}^{(k)} \right) \Rightarrow \mathbf{Z} = \mathbf{H}^K$$

Input

Benefits: Parameter sharing for all nodes

- $|V|$ is irrelevant to #parameters
- Enable inductive learning for new nodes

Output

GCN Performance

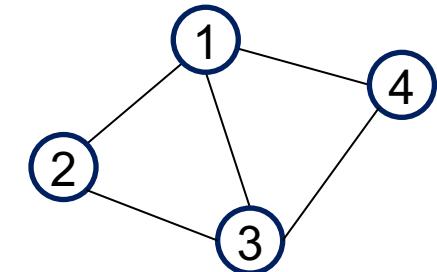
- 2-layer GCN: $Z = \text{softmax}(\tilde{\mathbf{A}} \sigma(\tilde{\mathbf{A}} \mathbf{X} \mathbf{W}_0) \mathbf{W}_1)$

Dataset	Type	Nodes	Edges	Classes	Features	Label rate
Citeseer	Citation network	3,327	4,732	6	3,703	0.036
Cora	Citation network	2,708	5,429	7	1,433	0.052
Pubmed	Citation network	19,717	44,338	3	500	0.003
NELL	Knowledge graph	65,755	266,144	210	5,414	0.001

Method	Citeseer	Cora	Pubmed	NELL
ManiReg [3]	60.1	59.5	70.7	21.8
SemiEmb [28]	59.6	59.0	71.1	26.7
LP [32]	45.3	68.0	63.0	26.5
DeepWalk [22]	43.2	67.2	65.3	58.1
ICA [18]	69.1	75.1	73.9	23.1
Planetoid* [29]	64.7 (26s)	75.7 (13s)	77.2 (25s)	61.9 (185s)
GCN (this paper)	70.3 (7s)	81.5 (4s)	79.0 (38s)	66.0 (48s)

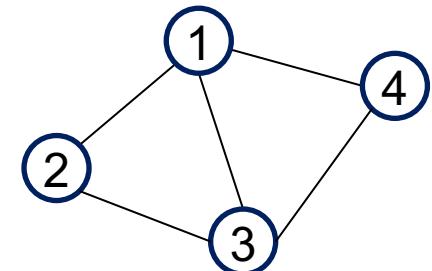
GCN: An Example

$$\bullet \hat{A} = A + I = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{bmatrix}$$



$$\bullet \hat{D} = \begin{bmatrix} 4 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 3 \end{bmatrix}, \hat{D}^{-\frac{1}{2}} = \begin{bmatrix} \frac{1}{2} & 0 & 0 & 0 \\ 0 & \frac{1}{\sqrt{3}} & 0 & 0 \\ 0 & 0 & \frac{1}{2} & 0 \\ 0 & 0 & 0 & \frac{1}{\sqrt{3}} \end{bmatrix}$$

GCN: An Example



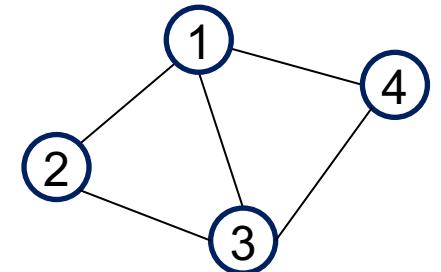
$$\bullet \tilde{A} = \hat{D}^{-\frac{1}{2}} \hat{A} \hat{D}^{-\frac{1}{2}} = \begin{bmatrix} \frac{1}{4} & \frac{\sqrt{3}}{6} & \frac{1}{4} & \frac{\sqrt{3}}{6} \\ \frac{\sqrt{3}}{6} & \frac{1}{3} & \frac{\sqrt{3}}{6} & 0 \\ \frac{1}{4} & \frac{\sqrt{3}}{6} & \frac{1}{4} & \frac{\sqrt{3}}{6} \\ \frac{\sqrt{3}}{6} & 0 & \frac{\sqrt{3}}{6} & \frac{1}{3} \end{bmatrix}, \text{ where } \frac{\sqrt{3}}{6} \approx 0.2887$$

GCN: An Example

- $H^{(k)} = \sigma(\tilde{A}H^{(k-1)}W_k)$

- $H^{(0)} = X = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{bmatrix}, W_1 = \begin{bmatrix} 1 & -0.5 \\ 0.5 & 1 \end{bmatrix}$

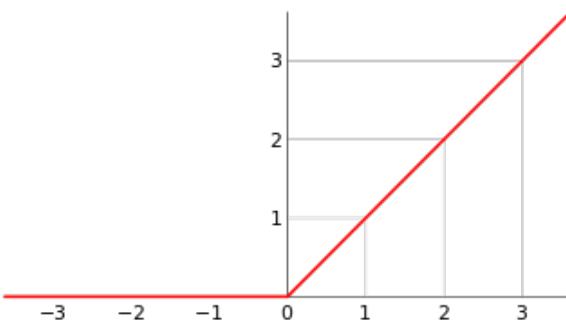
- $H^{(0)}W_1 = \begin{bmatrix} 1 & -0.5 \\ 0.5 & 1 \\ 1 & -0.5 \\ 1.5 & 0.5 \end{bmatrix}$



GCN: An Example

- $$\tilde{\mathbf{A}}\mathbf{H}^{(0)}\mathbf{W}_1 = \begin{bmatrix} \frac{1}{4} & \frac{\sqrt{3}}{6} & \frac{1}{4} & \frac{\sqrt{3}}{6} \\ \frac{\sqrt{3}}{6} & \frac{1}{3} & \frac{\sqrt{3}}{6} & 0 \\ \frac{1}{4} & \frac{\sqrt{3}}{6} & \frac{1}{4} & \frac{\sqrt{3}}{6} \\ \frac{\sqrt{3}}{6} & 0 & \frac{\sqrt{3}}{6} & \frac{1}{3} \end{bmatrix} \begin{bmatrix} 1 & -0.5 \\ 0.5 & 1 \\ 1 & -0.5 \\ 1.5 & 0.5 \end{bmatrix} = \begin{bmatrix} \frac{\sqrt{3}}{3} + \frac{1}{2} & \frac{\sqrt{3}}{4} - \frac{1}{4} \\ \frac{\sqrt{3}}{3} + \frac{1}{6} & -\frac{\sqrt{3}}{6} + \frac{1}{3} \\ \frac{\sqrt{3}}{3} + \frac{1}{2} & \frac{\sqrt{3}}{4} - \frac{1}{4} \\ \frac{\sqrt{3}}{3} + \frac{1}{2} & -\frac{\sqrt{3}}{6} + \frac{1}{6} \end{bmatrix}$$

- $$\mathbf{H}^{(1)} = \text{ReLU}(\tilde{\mathbf{A}}\mathbf{H}^{(0)}\mathbf{W}_1) = \begin{bmatrix} \frac{\sqrt{3}}{3} + \frac{1}{2} & \frac{\sqrt{3}}{4} - \frac{1}{4} \\ \frac{\sqrt{3}}{3} + \frac{1}{6} & -\frac{\sqrt{3}}{6} + \frac{1}{3} \\ \frac{\sqrt{3}}{3} + \frac{1}{2} & \frac{\sqrt{3}}{4} - \frac{1}{4} \\ \frac{\sqrt{3}}{3} + \frac{1}{2} & 0 \end{bmatrix} = \begin{bmatrix} 1.0774 & 0.1830 \\ 0.7440 & 0.0447 \\ 1.0774 & 0.1830 \\ 1.0774 & 0 \end{bmatrix}$$



GCN Training

- $Z = \text{softmax}(\mathbf{H}^{(2)}) = \text{softmax}(\tilde{\mathbf{A}}\mathbf{H}^{(1)}\mathbf{W}_2)$

- $\mathbf{W}_2 = \begin{bmatrix} 0.5 & -0.5 \\ 1 & 0.5 \end{bmatrix}$

- $Z = \text{softmax}(\mathbf{H}^{(2)}) = \text{softmax} \left(\begin{bmatrix} 0.6366 & -0.4800 \\ 0.5556 & -0.3747 \\ 0.6366 & -0.4800 \\ 0.5962 & -0.4377 \end{bmatrix} \right)$ $= \begin{bmatrix} 0.7534 & 0.2466 \\ 0.7171 & 0.2829 \\ 0.7534 & 0.2466 \\ 0.7377 & 0.2623 \end{bmatrix}$

GCN Training

- $Y = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 0 \end{bmatrix}$
 - Objective function: cross entropy
- $$L = -\frac{1}{4} \sum_{i=1}^4 \sum_{j=1}^2 Y_{ij} \ln Z_{ij} = 0.5334$$
- Update W_1, W_2 by back-propagation

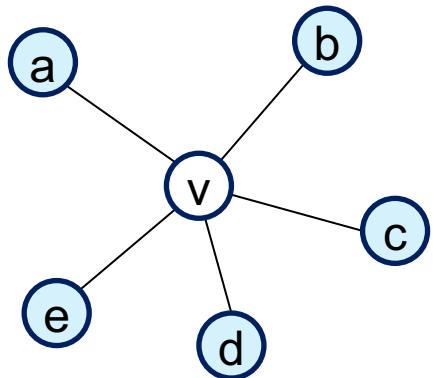
GNN: Graph Convolutional Networks

$$\mathbf{H}^k = \sigma \left(\mathbf{D}^{-\frac{1}{2}} (\mathbf{A} + \mathbf{I}) \mathbf{D}^{-\frac{1}{2}} \mathbf{H}^{(k-1)} \mathbf{W}^{(k)} \right)$$

GCN is one way of neighbor aggregations

- **GraphSAGE**
- Graph Attention Networks
-

GraphSAGE



GCN

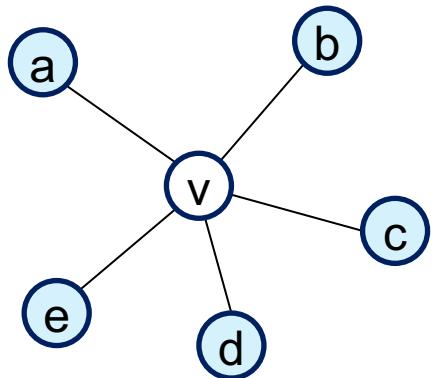
$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v) \cup v} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}})$$

GraphSAGE

$$\mathbf{h}_v^k = \sigma([\mathbf{A}^k \cdot \text{AGG}(\{\mathbf{h}_u^{k-1}, \forall u \in N(v)\}), \mathbf{B}^k \mathbf{h}_v^{k-1}])$$

Generalized aggregation: any differentiable function that maps set of vectors to a single vector

GraphSAGE



GCN

$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v) \cup v} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}})$$

GraphSAGE

Instead of summation, it concatenates neighbor & self embeddings

$$\mathbf{h}_v^k = \sigma([\mathbf{A}^k \cdot \text{AGG}(\{\mathbf{h}_u^{k-1}, \forall u \in N(v)\}), \mathbf{B}^k \mathbf{h}_v^{k-1}])$$

Generalized aggregation: any differentiable function that maps set of vectors to a single vector

GraphSAGE

$$\mathbf{h}_v^k = \sigma([\mathbf{A}^k \cdot \text{AGG}(\{\mathbf{h}_u^{k-1}, \forall u \in N(v)\}), \mathbf{B}^k \mathbf{h}_v^{k-1}])$$

- Mean

$$\text{AGG} = \sum_{u \in N(v)} \frac{\mathbf{h}_u^{k-1}}{|N(v)|}$$

- LSTM (random permutation of neighbors)

$$\text{AGG} = \text{LSTM}([\mathbf{h}_u^{k-1}, \forall u \in \pi(N(v))])$$

- Max-pooling

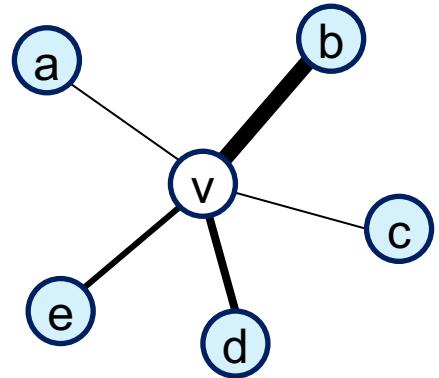
$$\text{AGG} = \max(\{\sigma(\mathbf{W}_{pool} \mathbf{h}_u^{k-1} + b), u \in N(v)\})$$

GraphSAGE Performance

- AGGs: GCN / mean / LSTM / max-pooling
- Supervised (Sup.), Unsupervised (Unsup.)

Name	Citation		Reddit		PPI	
	Unsup. F1	Sup. F1	Unsup. F1	Sup. F1	Unsup. F1	Sup. F1
Random	0.206	0.206	0.043	0.042	0.396	0.396
Raw features	0.575	0.575	0.585	0.585	0.422	0.422
DeepWalk	0.565	0.565	0.324	0.324	—	—
DeepWalk + features	0.701	0.701	0.691	0.691	—	—
GraphSAGE-GCN	0.742	0.772	0.908	0.930	0.465	0.500
GraphSAGE-mean	0.778	0.820	0.897	0.950	0.486	0.598
GraphSAGE-LSTM	0.788	0.832	0.907	0.954	0.482	0.612
GraphSAGE-pool	0.798	0.839	0.892	0.948	0.502	0.600
% gain over feat.	39%	46%	55%	63%	19%	45%

Graph Neural Networks



Realistically, neighbors are of different importance to each node

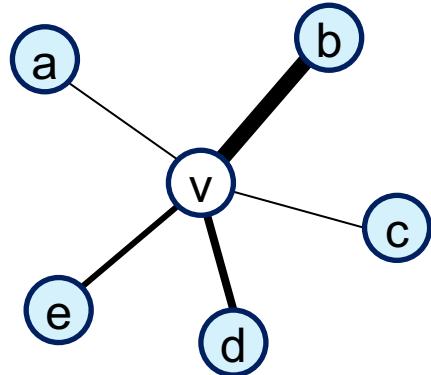
Graph Neural Networks

$$\mathbf{H}^k = \sigma \left(\mathbf{D}^{-\frac{1}{2}} (\mathbf{A} + \mathbf{I}) \mathbf{D}^{-\frac{1}{2}} \mathbf{H}^{(k-1)} \mathbf{W}^{(k)} \right)$$

GCN is one way of neighbor aggregations

- GraphSAGE
- **Graph Attention Networks**
-

GNN: Graph Attention



GCN

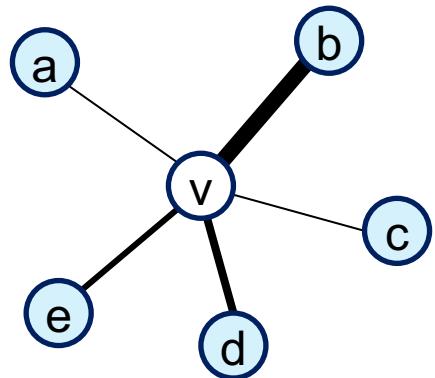
$$\mathbf{h}_v^k = \sigma(\mathbf{W}^k \sum_{u \in N(v) \cup v} \frac{\mathbf{h}_u^{k-1}}{\sqrt{|N(u)||N(v)|}})$$

Graph Attention Networks

$$\mathbf{h}_v^k = \sigma\left(\sum_{u \in N(v) \cup v} \alpha_{v,u} \mathbf{W}^k \mathbf{h}_u^{k-1} \right)$$

Learned attention weights

GNN: Graph Attention



$$\alpha_{v,u} = \frac{\exp(\text{LeakyReLU}(\mathbf{a}^\top [\mathbf{Q}\mathbf{h}_v, \mathbf{Q}\mathbf{h}_u]))}{\sum_{u' \in N(v) \cup \{v\}} \exp(\text{LeakyReLU}(\mathbf{a}^\top [\mathbf{Q}\mathbf{h}_v, \mathbf{Q}\mathbf{h}_{u'}]))}$$

Various ways to define attention!

GAT Performance

Transductive

Method	Cora	Citeseer	Pubmed
MLP	55.1%	46.5%	71.4%
ManiReg (Belkin et al., 2006)	59.5%	60.1%	70.7%
SemiEmb (Weston et al., 2012)	59.0%	59.6%	71.7%
LP (Zhu et al., 2003)	68.0%	45.3%	63.0%
DeepWalk (Perozzi et al., 2014)	67.2%	43.2%	65.3%
ICA (Lu & Getoor, 2003)	75.1%	69.1%	73.9%
Planetoid (Yang et al., 2016)	75.7%	64.7%	77.2%
Chebyshev (Defferrard et al., 2016)	81.2%	69.8%	74.4%
GCN (Kipf & Welling, 2017)	81.5%	70.3%	79.0%
MoNet (Monti et al., 2016)	81.7 ± 0.5%	—	78.8 ± 0.3%
GCN-64*	81.4 ± 0.5%	70.9 ± 0.5%	79.0 ± 0.3%
GAT (ours)	83.0 ± 0.7%	72.5 ± 0.7%	79.0 ± 0.3%

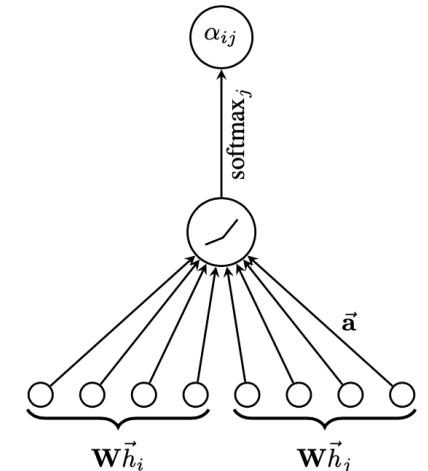
Inductive

Method	PPI
Random	0.396
MLP	0.422
GraphSAGE-GCN (Hamilton et al., 2017)	0.500
GraphSAGE-mean (Hamilton et al., 2017)	0.598
GraphSAGE-LSTM (Hamilton et al., 2017)	0.612
GraphSAGE-pool (Hamilton et al., 2017)	0.600
GraphSAGE*	0.768
Const-GAT (ours)	0.934 ± 0.006
GAT (ours)	0.973 ± 0.002

Sparse Operators in GNNs

- GCN (Sparse Matrix-Matrix Multiplication, SpMM)

$$\mathbf{H}^{(i+1)} = \mathbf{A}\mathbf{H}^{(i)}\mathbf{W}$$

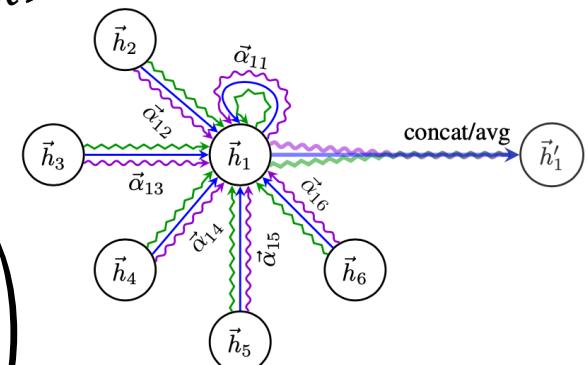


- GAT (Edge-wise-softmax)

$$\alpha_{ij} = \text{softmax}(e_{ij}) = \frac{\exp(e_{ij})}{\sum_{k \in N_i} \exp(e_{ik})}$$

- GAT (Multi-Head SpMM)

$$\mathbf{h}_i = \text{CONCAT} \left(\sigma \left(\sum_{j \in N_i} \alpha_{ij}^k \mathbf{W}^k \mathbf{h}_j \right) \right)$$



GCN/GAT Layer in CogDL

```
# GCN Layer
# graph: cogdl.data.Graph
# x: node features
# weight: parameters

h = torch.mm(x, weight)
h = spmm(graph, h)
out = torch.relu(h)
```

```
# GAT Layer
# graph: cogdl.data.Graph
# h: node features
# h_score: importance score of edge

edge_attention = mul_edge_softmax(graph, edge_score)
h = mh_spmm(graph, edge_attention, h)
out = torch.cat(h, dim=1)
```

$$H^{(i+1)} = AH^{(i)}W^{(i)}$$

$$\alpha_{ij} = softmax(e_{ij}) = \frac{\exp(e_{ij})}{\sum_{k \in N_i} \exp(e_{ik})}$$

$$h_i = CONCAT \left(\sigma \left(\sum_{j \in N_i} \alpha_{ij}^k W^k h_j \right) \right)$$

Implementation of GCN/GAT Layer

```
class GCNLayer(nn.Module):
    """
    Simple GCN layer, similar to https://arxiv.org/abs/1706.10219
    """

    def __init__(self, in_features, out_features):
        super(GCNLayer, self).__init__()

        self.reset_parameters()

        self.forward = self._forward
        self._forward = self._forward_gcn

    def _forward(self, graph, x):
        support = torch.mm(x, self.weight)
        out = spmm(graph, support)

        return out
```

$$H^{(i+1)} = AH^{(i)}W^{(i)}$$

```
class GATLayer(nn.Module):
    """
    Sparse version GAT layer, similar to https://arxiv.org/abs/1710.10903
    """

    def __init__(self, in_features, out_features, nhead=1, alpha=0.2):
        super(GATLayer, self).__init__()

        self.reset_parameters()

        self.forward = self._forward
        self._forward = self._forward_gat

    def _reset_parameters(self):
        glorot(self.weight)
        glorot(self.attention)

    def _forward(self, graph, x):
        h = torch.matmul(x, self.weight)
        edge_score = self.compute_edge_score(graph, x, h)
        # edge_attention: E * H
        edge_attention = mul_edge_softmax(graph, edge_score)
        edge_attention = self.dropout(edge_attention)

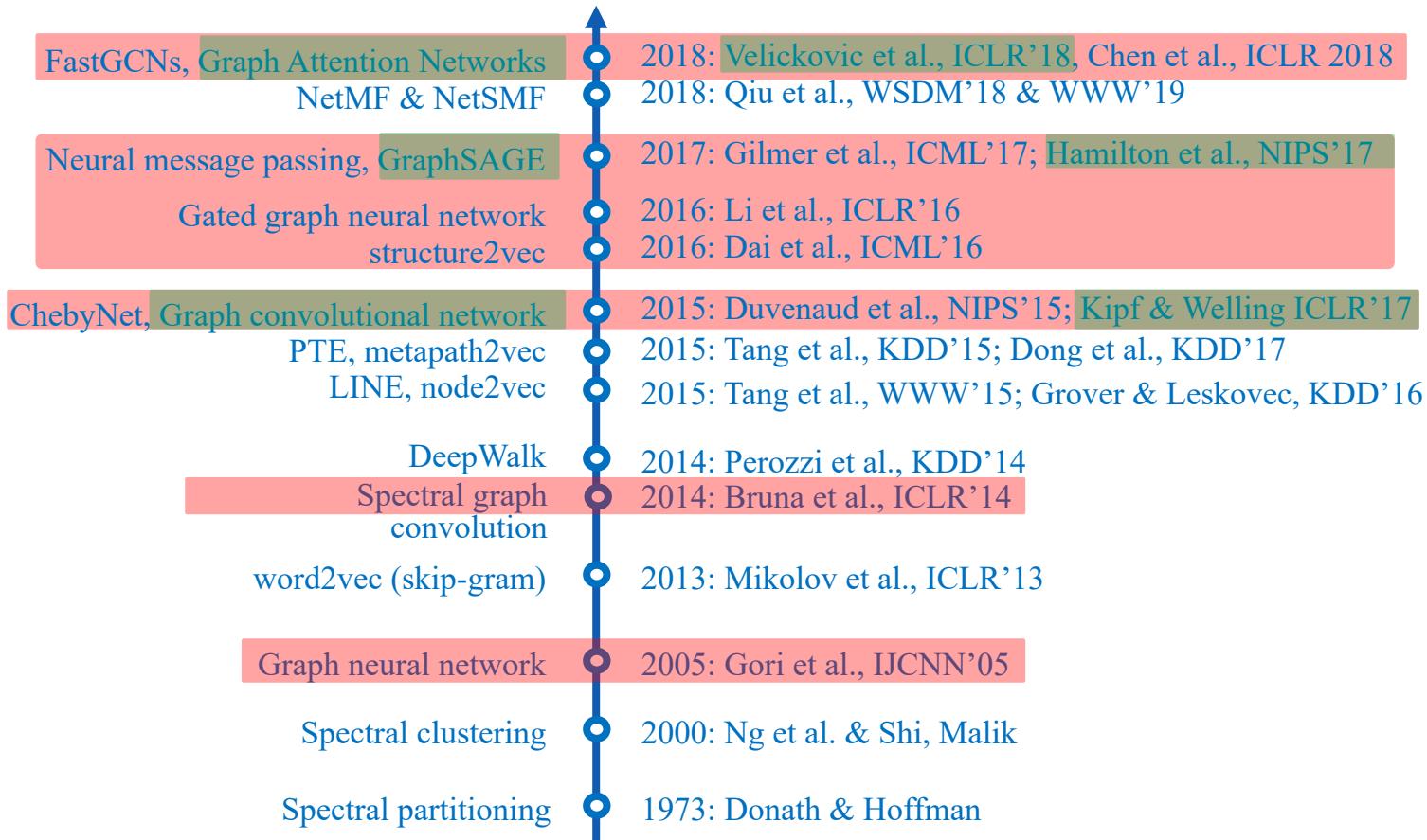
        out = mh_spmm(graph, edge_attention, h)

        return out
```

$$\alpha_{ij} = \text{softmax}(e_{ij}) = \frac{\exp(e_{ij})}{\sum_{k \in N_i} \exp(e_{ik})}$$

$$h_i = \text{CONCAT} \left(\sigma \left(\sum_{j \in N_i} \alpha_{ij}^k W^k h_j \right) \right)$$

Network Representation Learning / Network Embedding



Homework 3: GCN Implementation

- Experiments on GCN:
 - Due by 31st July
 - Reproduce GCN computation introduced in slides
 - Implement a simple version of GCN model
 - Test GCN model on the cora dataset
 - Test CogDL's GCN for comparison
 - Give the analysis of the results
- Find the homework material from the course website: <https://cogdl.ai/gnn2022/>



Thank you !

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Yukuo Cen, KEG, Tsinghua U.
Online Discussion Forum

<https://github.com/THUDM/cogdl>
<https://discuss.cogdl.ai/>